

ΕΛΛΗΝΙΚΗ ΔΗΜΟΚΡΑΤΙΑ ΠΑΝΕΠΙΣΤΗΜΙΟ ΚΡΗΤΗΣ

# Συστήματα Διαχείρισης Βάσεων Δεδομένων Φροντιστήριο 7: Tutorial on Query Optimization

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# TUTORIAL ON QUERY OPTIMIZATION





#### **DB Logical Architecture**





#### **Relational Operators**





# **Measures of Query Cost**

- Cost is generally measured as total elapsed time for answering query
   Many factors contribute to time cost: disk accesses, CPU, or even network communication
- Typically disk access is the predominant cost, and is also relatively easy to estimate
  - Measured by taking into account
    - Number of blocks read \* average-block-read-cost
    - Number of blocks written \* average-block-write-cost
  - Cost to write a block is greater than cost to read a block
    - data is read back after being written to ensure that the write was successful



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# **Measures of Query Cost**

- For simplicity we just use number of block transfers from disk as the cost measure
  - We ignore the difference in cost between sequential and random I/O for simplicity
  - We also ignore CPU costs for simplicity
  - We do not include cost to writing output to disk in our cost formula
- Costs depends on the size of the buffer in main memory
  - Having more memory reduces need for disk access
  - Amount of real memory available to buffer depends on other concurrent OS processes, and hard to determine ahead of actual execution
  - We often use worst case estimates, assuming only the minimum amount of memory needed for the operation is available
- Real systems take CPU cost into account, differentiate between sequential and random I/O, and take buffer size into account



• Buffer: 3 pages (1 for R, 1 for S, 1 for output)





## Exercise

- Relations: S(A,B,C) and R(C,D,E)
- S has 20,000 tuples
- R has 45,000 tuples
- 25 tuples of S fit on one block (blocking factor)
- 30 tuples of R fit on one block
- S JOIN R
- S need 800 blocks (20000/25)
- R need 1500 blocks (45000/30)
- Assume M pages in memory
- If M > 800,  $cost = b_R + b_s = 1500 + 800 = 2300 I/Os$

- Consider only M <=800,</li>
  - $cost = b_s + n_s * b_R$
- Using S as outer relation
  - Cost: 800 +20000\*1500 = 30000800 I/Os
- cost = b<sub>R</sub> + n<sub>R</sub> \* b<sub>S</sub> ● If R as outer relation ◆Cost: 1500 + 45000\*800 = 36001500 I/Os



## **Block Nested Loop Join**

for each block of M - 2 pages of R do

```
foreach page of S do
```

for all matching in-memory tuples r in R-block and s in S-page

add <r,s> to result

If M buffer pages available

♦ Cost:  $b_R + [b_R / (M-2)] * b_s$ 

M buffer pages (1 for inner S, 1 for output and all remaining M-2 pages to hold "block" of outer R

• If S is outer

♦Cost = [ 800 /(M-2) ] \* 1500 + 800 I/Os

• If R is outer





# Index Nested-Loop Join

• Primary B+tree index on the join attribute of R:

$$b_{s} + n_{s*}(x_{R} + 1)$$

where:

- $n_{S}(n_{R})$  is the number of S (R) tuples
- $x_R$  is the height of the B+-tree index on the join attribute
- n<sub>S\*</sub>(x<sub>R</sub> + 1) is the cost of using B+-tree index to find matching tuple in R
- Secondary B+tree index on the join attribute of R:

$$b_{s} + n_{R^{*}}(x_{R} + 1)$$

where n<sub>R\*</sub> (x<sub>R</sub> + 1) is the cost of using B+-tree index to find matching tuple in R



## Index Nested loop join

• Hash index on the join attribute of R:

 $b_s + n_s * H$ 

Where H is the average number of page accesses necessary to retrieve a tuple from R with a given key

• We use:

- H = 1.2 for a primary hash index and
- H = 2.2 for a secondary hash index



# **External Sorting**

File has b<sub>R</sub> pages

- M : number of main memory page buffers
- No. of runs in the first pass  $R = b_R / M$
- No. of passes to sort file completely  $P = \left\lceil \log_{M-1} (b_R / M) \right\rceil + 1$   $= \left\lceil \log_{M-1} R \right\rceil + 1$
- Total cost for sorting

   = b<sub>R</sub>\* (2\* ⌈ log <sub>M-1</sub> R ⌉ + 1)
   = b<sub>R</sub>\* 2\* ⌈ log <sub>M-1</sub> R ⌉ + b<sub>R</sub>



# Merge Join

- Assuming S and R are not initially sorted on the join key
- Cost = Sorting +  $b_R$  +  $b_s$
- Sorting = 1500 \* (2 \* ⌈ log <sub>M-1</sub> (1500/M)⌉ + 1 ) + 800 \* (2 \* ⌈ log <sub>M-1</sub> (800/M)⌉ + 1)



 i.e.: the leaf nodes of the index tree (assumed to be 69% full) have to be scanned for pointers to the tuples of the relation and the blocks containing the tuples itself must be read at least once



# Hash join

Hash both relations on the join attribute using the same hash function

- Since S is smaller, we use it as the build relation and R as probe relation
- Assume no overflow occurs
- If M >= 800, no need for recursive partitioning, cost = 3(1500 + 800) = 6900 disk access = 3(b<sub>R</sub> + b<sub>s</sub>)
- Else, cost =  $2(1500 + 800) \lceil \log_{M-1} (800) 1 \rceil + 1500 + 800$  disk access =  $2(b_R + b_s) \lceil \log_{M-1} (b_s) - 1 \rceil + b_R + b_s$



# Why Optimize?

- Given a query and a database of size m, how big can the output of applying the query to the database be?
- Example: R(A) with 2 rows. One row has value 0. One row has value 1.
   How many rows are in R x R?
  - How many in R x R x R?
- → Size of output as a function of input: O(?)
- Usually, queries are small
  - Therefore, it is usually assumed that queries are of a fixed size
  - Use term data complexity when we analyze time, assuming that query is constant
- What is the size of the output in this case?





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# **Optimizer Architecture**

- Rewriter: Finds equivalent queries that, perhaps can be computed more efficiently; all such queries are passed on to the Planner
  - Examples of Equivalent queries: Join orderings
- Planner: Examines all possible execution plans and chooses the cheapest one, i.e., fastest one
  - Uses other modules to find best plan
- Algebraic Space: Determines which types of queries will be examined Example: Try to avoid Cartesian Products
- Method-Structure Space: Determines what types of indexes are available and what types of algorithms for algebraic operations can be used Example: Which types of join algorithms can be used
- Cost Model: Estimates the cost of execution plans Uses Size-Distribution Estimator for this
- Size-Distribution Estimator: Estimates size of tables, intermediate results, frequency distribution of attributes and size of indexes 20



## **Algebraic Space**

- We consider queries that consist of select, project and join (Cartesian product is a special case of join)
- Such queries can be represented by a tree.

• Example: emp(name, age, sal, dno)
 dept(dno, dname, floor, mgr, ano)
 act(ano, type, balance, bno)
 bank(bno, bname, address)

select name, floor
from emp, dept
where emp.dno=dept.dno and sal > 100K





# **Restriction 1 of Algebraic Space**

- Algebraic space may contain many equivalent queries
- Important to restrict space
- Restriction (heuristic) 1: Only allow queries for which selection and projection:
  - are processed as early as possible
  - are processed on the fly
- Which trees in our example conform to Restriction 1?



- Selection and projection are performed as part of other actions
- Projection and selection that appear one after another are performed one immediately after another
  - Projection and Selection do not require writing to the disk
- Selection is performed while reading relations for the first time
- Projection is performed while computing answers from previous action



In T3, there is "maximal pushing of selection and projection"
 Rewriter finds such expressions



# **Restriction 2 of Algebraic Space**

- Since the order of selection and projection is determined, we can write trees only with joins
- Restriction (heuristic) 2: Cross/Cartesian products are never formed, unless the query asks for them
- Why this restriction?
- Example:

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select name, floor, balance
from emp, dept, acnt
where emp.dno=dept.dno and
 dept.ano = acnt.ano





#### **Restriction 3 of Algebraic Space**

- The left relation is called the outer relation in a join and the right relation is the inner relation (as in terminology of nested loops algorithms)
- Restriction (heuristic) 3: The inner operand of each join is a database relation, not an intermediate result (left-deep plans)
- Example:

select name, floor, balance
from emp, dept, acnt, bank
where emp.dno=dept.dno and dept.ano=acnt.ano
 and acnt.bno = bank.bno





# **Pipelining Joins**

Consider computing: (Emp > < Dept) > < Acnt. In principle, we should</li>
 compute Emp > < Dept, write the result to the disk</li>
 then read it from the disk to join it with Acnt

 When using block and index nested loops join, we can avoid the step of writing to the disk

#### • We allow plans that

Perform selection and projection early and on the fly

- Do not create cross products
- Use database relations as inner relations (also called left deep trees)





## Planner

- Dynamic programming algorithm to find best plan for performing join of N relations
- Intuition:
  - Find all ways to access a single relation
    - Estimate costs and choose best access plan(s)
  - For each pair of relations, consider all ways to compute joins using all access plans from previous step
    - Choose best plan(s)...
  - For each i-1 relations joined, find best option to extend to i relations being joined...
  - Given all plans to compute join of n relations, output the best



## Reminder: Dynamic Programming

- To find an optimal plan for joining S, R, R<sub>3</sub>, R<sub>4</sub>, choose the best among:
  - Optimal plan for joining R, R<sub>3</sub>, R<sub>4</sub> + for reading S + optimal join of S with result of previous joins
  - Optimal plan for joining S, R<sub>3</sub>, R<sub>4</sub> + for reading R + optimal join of R with result of previous joins
  - Optimal plan for joining S, R, R<sub>4</sub> + for reading R<sub>3</sub> + optimal join of R<sub>3</sub> with result of previous joins
  - Optimal plan for joining S, R, R<sub>3</sub> + for reading R<sub>4</sub> + optimal join of R<sub>4</sub> with result of previous joins



# Not Good Enough: Interesting Orders

- Example, suppose we are computing (R(A,B) > < S(B,C)) > < T(B,D)</p>
  - Maybe merge-sort join of R and S is not the most efficient, but the result is sorted on B
  - If T is sorted on B, the performing a sort-merge join of R and S, and then of the result with T, maybe the cheapest total plan
- For some joins, such as sort-merge join, the cost is cheaper if relations are ordered
  - Therefore, it is of interest to create plans where attributes that participate in a join are ordered on attributes in joins later on
- For each interesting order, save the best plan
  - We save plans for non interesting order if it better than all interesting order costs



- Join Methods: nested loops and sort-merge
- In the example, all cost estimations are fictional

# Step 1 – Accessing Single Relations

Relation	Interesting Order	Plan	Cost
emp	emp.dno	Access through B+tree on emp.dno	700
		Access through B+tree on emp.sal	200
		Sequential scan	600
dept		Access through hashing on dept.floor Sequential scan	50 200

• Which do we save for the next step?



### Step 2 – Joining 2 Relations

Join Method	Outer/Inner	Plan	Cost
nested loops	emp/dept	<ul> <li>For each emp tuple obtained through B+Tree on emp.sal, scan dept through hashing index on dept.floor to find tuples matching on dno</li> </ul>	1800
		•For each emp tuple obtained through B+Tree on emp.dno and satisfying selection, scan dept through hashing index on dept.floor to find tuples matching on dno	3000



### Step 2 – Joining 2 Relations

Join Method	Outer/Inner	Plan	Cost
nested loops	dept/emp	<ul> <li>For each dept tuple obtained through hashing index on dept.floor, scan emp through B+Tree on emp.sal to find tuples matching on dno</li> </ul>	2500
		<ul> <li>For each dept tuple obtained through hashing index on dept.floor, scan emp through B+Tree on emp.dno to find tuples satisfying the selection on emp.sal</li> </ul>	1500



## Step 2 – Joining 2 Relations

Join Method	Outer/ Inner	Plan	Cost
sort merge		<ul> <li>Sort the emp tuples resulting from accessing the B+Tree on emp.sal into L1</li> </ul>	2300
		<ul> <li>Sort the dept tuples resulting from accessing the hashing index on dept.floor into L2</li> </ul>	
		Merge L1 and L2	
		<ul> <li>Sort the dept tuples resulting from accessing the hashing index on dept.floor into L2</li> </ul>	2000
		<ul> <li>Merge L2 and the emp tuples resulting from accessing the B+Tree on emp.dno and satisfying the selection on emp.sal</li> </ul>	



# **Picking a Query Plan**





# **Analyzing Result Sizes**

- In order to answer the question in the previous slide, we must be able to estimate the size of (Sailors $\geq \triangleleft$ Reserves) and (Reserves $\geq \triangleleft$ Boats)
- The DBMS stores statistics about the relations and indexes
   Cardinality: Num of tuples NTuples(R) in each relation R
  - Size: Num of pages NPages(R) in each relation R
  - Index Cardinality: Num of distinct key values NKeys(I) for each index I
  - Index Size: Num of pages INPages(I) in each index I
  - Index Height: Num of non-leaf levels IHeight(I) in each B+ Tree index I
  - Index Range: The minimum ILow(I) and maximum value IHigh(I) for each index I
- They are updated periodically (not every time the underlying relations are modified)



# **Estimating Result Sizes**

#### Consider

SELECT attribute-list FROM relation-list WHERE term<sub>1</sub> and ... and term<sub>n</sub>

- The maximum number of tuples is the product of the cardinalities of the relations in the FROM clause
- The WHERE clause is associating a reduction factor with each term
  - column = value: 1/NKeys(I) if there is an index I on column. This assumes a uniform distribution; otherwise, System R assumes 1/10
  - Column1 = column2: 1/Max(NKeys(I1),NKeys(I2)) if there is an index I1 on column1 and I2 on column2. If only one column has an index, we use it to estimate the value; otherwise, use 1/10
  - column > value: (High(I)-value)/(High(I)-Low(I)) if there is an index I on column
- Estimated result size is: maximum size times product of reduction factors<sup>42</sup>





# Second Example of Join Order Selection

• Consider the join of the four relations named R, S, T, U:

R(a,b), 1.000 total tuples	S(b,c), 1.000 total tuples	T(c,d), 1.000 total tuples	U(a,d), 1.000 total tuples
V(R,a) = 100			V(U,a) = 50
V(R,b) = 200	V(S,b) = 100		
	V(S,c) = 500	V(T,c) = 20	
		V(T,d) = 50	V(U,d) = 1000





# Second Example of Join Order Selection

 For the singleton sets, the costs and best plans are given in the table below

	{R}	{S}	{T}	{U}
Size	1.000	1.000	1.000	1.000
Cost	0	0	0	0
Best plan	R	S	Т	U

 As the costs for all relations are the same, the dynamic programming algorithm will consider them all.



 The dynamic programming algorithm again keeps them all for the next run, as the costs are 0.



# Second Example of Join Order Selection

• Now, we consider the join of three out of these four relations:

	{R,S,T}	{R,S,U}	{R,T,U}	{S,T,U}
Size	10.000	50.000	10.000	2.000
Cost	2.000	5.000	1.000	1.000
Best plan	(SxT)xR	(RxS)xU	(TxU)xR	(TxU)xS

 As you can see, the best plan is clearly "(TxU)xS", with the least cost and size.



### Second Example of Join Order Selection

• Finally, we consider the join of all relations. We come to these four final results (for dynamic programming):

((SxT)xR)xU	12.000
((RxS)xU)xT	55.000
((TxU)xR)xS	11.000
((TxU)xS)xR	3.000
((TxU)x(RxS)	6.000
((RxT)x(SxU)	2.000.000
((SxT)x(RxU)	12.000



- For each operator, select algorithms based on I/O cost estimation
- For selection operator, consider
  - Index-scan algorithms that use single attribute indexes, multiple indexes, or multidimensional indexes
  - Table-scan algorithm using no index
- For join operator, consider
  - All types of join algorithms if enough statistics is available
  - If statistics is in sufficient, follow some simple ideas
    - Try one-pass algorithm or nested-loops
    - Use sort-join if one or both arguments are already sorted
    - If index is available, use index-join
    - If sort and index are not available and multi-pass join is necessary, use a hash join



# **Pipelining Example**

- Relations:
  - R(W,X),  $b_R = 5000$ S(X,Y),  $b_S = 10000$ U(Y,Z),  $b_U = 10000$
- Buffer: M = 101 blocks
- Both joins are hash join
- Size k is estimated, and used to choose join algorithms





#### Case 1: k ≤49

Can pipeline result of 1st join into 2nd join

• Two-pass hash join for  $R \triangleright S$ :

- Both R and S are hashed into 100 partitions, where each R partition has 50 blocks
- Join corresponding R & S partitions using 50 buffer blocks for R partition, 1 block for S partition, and store the result in 49 blocks as a hash table
- One-pass hash join for the 2nd join:
  - Use 1 buffer block for U (no need to partition U), join with the intermediate result that is already in buffer
- Cost = 3(5000+10000) + 10000 = 55000



#### Case 2: 49 < k ≤5000

- Overlap the 1st join with the hash partitioning of the 2nd join
- Two-pass hash join for the 1st join:
  - Partition R & S into 100 partitions, so that each R partition contains 50 blocks
  - Join corresponding R & S partitions (using 51 buffer blocks)
  - During the join, hash the result into 50 partitions (using the remaining 50 buffer blocks) & write the partitions to disk
- Two-pass hash join for the 2nd join:
  - Partition U into 50 partitions
  - Join corresponding partitions of intermediate result & U, using intermediate result partition as build relation (use 1 to 100 buffer blocks)

Cost = 3(10000+5000) + k + 2(10000) + (k+10000) = 75000 + 2k



#### Case 3: k > 5000

- Cannot use pipelining
- Two-pass hash join for the 1st join:
  - Partition R & S into 51 partitions, so that each R partition has <100 blocks</p>
  - Join corresponding R & S partitions, write results to disk
- Two-pass hash join for the 2nd join:
  - Partition intermediate result & U into more than 50 partitions
  - Join corresponding partitions of U & intermediate result, using the smaller partition as the build relation
- Cost = 3(5000+10000) + k + 3(10000+k) = 75000 + 4k



# Pipelining vs. Materialization

- Pipelining: Apply next operator to the output of one stage, as the output is generated.
- Materialization: Create a temporary relation as the output of a stage, pass to next stage



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# Pipelining vs. Materialization

#### Advantages of 64 bit processors

- More main memory possible
  - And so, more pipelining operations possible without having to write intermediate results to disk
- Complex in-memory processing does not require intermediate results being temporarily written to disk
  - Saves costly disk I/O's and increases scalability

#### • Disadvantages of 64 bit processors

- Application must be fully supporting 64 bit to make full use of the speed advantages
- Upgrading to a 32 bit system with (more) parallel processors (using shared memory perhaps) might be cheaper to implement
- DBMS's implementing 64 bit are e.g. Oracle 10g





# Notation for Physical Query Plans

- Non-standard among DBMSs
- Typical physical plan operators include
  - ◆For leave nodes: TableScan(R), SortScan(R,AttrList), IndexScan(R,A), IndexScan(R, Aθc)
  - ◆For selection nodes: combination of TableScan(R), Filter(Cond), SortScan(R, AttrList)





# Points to Remember

#### Step 1: Choose a *logical* plan

- Involves choosing a query tree, which indicates the order in which algebraic operations are applied
- Heuristic: Pushed trees are good, but sometimes "nearly fully pushed" trees are better due to indexing
- So: Take the initial "master plan" tree and produce a fully pushed tree plus several nearly fully pushed trees
- Step 2: Reduce search space
  - Deal with associativity of binary operators (join, union, ...)
  - Choose a particular shape of a tree (left-deep trees)
    - Equals the number of ways to parenthesize N-way join grows very rapidly
  - Choose a particular permutation of the leaves
    - E.g., 4! permutations of the leaves A, B, C, D
- Step 3: Use a *heuristic search* to further reduce complexity
  - The choice of left-deep trees still leaves open too many options
  - A heuristic algorithm is used to get a 'good' plan

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